

Voluntary Broker Resolution Process Agreement

In signing this document all parties to the Voluntary Broker Resolution Process (VBRP) agree that this is a confidential process intended to facilitate the resolution of a dispute.

Any statements made or documents generated for or in the VBRP with a view to resolving the dispute:

- Are privileged and are made or created without prejudice;
- Must be treated by the participants in the process as confidential and may only be used for the purpose of the VBRP; and
- May not be referred to, presented as evidence or relied on, and are not admissible in any subsequent legal application or proceeding in any other legal action, or in proceedings of a judicial or quasi-judicial nature.

The parties agree that the individual or individuals facilitating the VBRP are not competent to give evidence nor compellable to give evidence in any application or proceeding relating to the process in any related legal action or in any proceeding of a judicial or quasi-judicial nature.

Agreement by Complainants

We, _____, agree to participate in the Voluntary Broker Resolution Process. We understand my participation is voluntary and if a resolution is not achieved the matter will be returned to the Registrar for investigation.

We commit to withdraw my complaint if a resolution agreeable to me is reached.

Signature

Signature

Print name

Print name

Agreement by Licensee

I, _____, agree to participate in the Voluntary Broker Resolution Process. I understand my participation is voluntary and if a resolution is not achieved the matter will be returned to the Registrar for investigation.

Signature

Print name

Agreement by Broker

I, _____, agree to facilitate the Voluntary Broker Resolution Process. I understand my participation is voluntary and if a resolution is not achieved the matter will be returned to the Registrar for investigation.

I further undertake to ensure completion of this process by _____
(30 business days commencing date of Registrar’s correspondence to the broker) and to provide the Registrar with confirmation in writing from all participants that a resolution has been or has not been reached.

Signature

Print name